

# RECEIVED

DEC 27 2002

Sheet 1 of 2

TECH CENTER 1600/2900

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: <b>DX01052K1</b>	SERIAL NO.: <b>10/009,445</b>
<b>INFORMATION DISCLOSURE STATEMENT FOR PATENT</b> (Use several sheets if necessary)		APPLICANT: <b>A. Neil BARCLAY, et al.</b>	
		IA FILING DATE: <b>May 11, 2000</b>	GROUP: <b>Not yet assigned</b>

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
<b>CA</b>	<b>AA</b>	<b>WO 00/06698</b>	<b>2/10/00</b>	<b>PCT</b>		<b>X</b>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<b>CA</b>	<b>AB</b>	Alexander B.H. Bakker, et al., <u>Proc Natl Acad Sci, USA</u> , 96(17):9792-9796, August 17, 1999. "Myeloid DAP-12-associating lectin (MDL)-1 is a cell surface receptor involved in the activation of myeloid cells"
	<b>AC</b>	J. Fernando Bazan, et al., <u>NATURE</u> , 379:591, February 15, 1996. "A newly defined interleukin-1?"
	<b>AD</b>	Frank Borriello, et al., <u>J Immunol</u> , 158(10):4548-4554, May 15, 1997. "MRC OX-2 defines a novel T cell costimulatory pathway"
	<b>AE</b>	Frank Borriello, et al., <u>Mamm Genome</u> , 9(2):114-118, February 1998. "Characterization and localization of Mox2, the gene encoding the murine homolog of the rat MRC OX-2 membrane glycoprotein"
	<b>AF</b>	Kerry S. Campbell & Marco Colonna, <u>Int J Biochem Cell Biol</u> , 31(6):631-636, June 1999. "DAP12 a key accessory protein for relaying signals by natural killer cell receptors"
	<b>AG</b>	Irwin M. Chaiken & William V. Williams, <u>Trends Biotechnol</u> , 14(10):369-375, October 14, 1996. "Identifying structure-function relationships in four-helix bundle cytokines: towards de novo mimetics design"
	<b>AH</b>	Pierre Gosselin, et al., <u>J Leukoc Biol</u> , 66(1):165-171, July 1999. "Induction of DAP12 phosphorylation, calcium mobilization, and cytokine secretion by Ly49H"
	<b>AI</b>	Joan K. Heath, et al., <u>Proc Natl Acad Sci U S A</u> , 94(2):469-474, January 21, 1997. "The human A33 antigen is a transmembrane glycoprotein and a novel member of the immunoglobulin superfamily"
	<b>AJ</b>	Jeffrey M. Herz, et al., <u>J Recept Signal Transduct Res.</u> , 17(5):671-776, September 1997. "Molecular approaches to receptors as targets for drug discovery"
	<b>AK</b>	James N. Ihle, et al., <u>Stem Cells</u> , 15 (Suppl 1):105-11, 1997. "Jaks and Stats in cytokine signaling"
<b>✓</b>	<b>AL</b>	Lewis L. Lanier, et al., <u>Immunity</u> , 8(6):693-701, June 1998. "Association of DAP12 with activating CD94/NKG2C NK cell receptors"

EXAMINER

DATE CONSIDERED

6/1/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

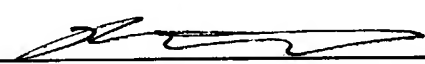


RECEIVED

DEC 27 2002

Sheet 2 of 2

TECH CENTER 1600/2900

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO.: <b>DX01052K1</b>	SERIAL NO.: <b>10/009,445</b>
INFORMATION DISCLOSURE STATEMENT FOR PATENT  (Use several sheets if necessary)				APPLICANT: <b>A. Neil BARCLAY, et al.</b>	
				IA FILING DATE: <b>May 11, 2000</b>	GROUP: <b>Not yet assigned</b>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
<b>CO</b>	<b>AM</b>	Lewis L. Lanier, et al., <u>Nature</u> , 391(6668):703-707, February 12, 1998. "Immunoreceptor DAP12 bearing a tyrosine-based activation motif is involved in activating NK cells"			
	<b>AN</b>	David E. Levy, <u>Cytokine Growth Factor Rev.</u> , 8(1):81-90, March 1997. "The house that JAK/STAT built"			
	<b>AO</b>	Miguel Lopez-Botet & Teresa Bellon, <u>Curr Opin Immunol</u> , 11(3):301-307, June 1999. "Natural killer cell activation and inhibition by receptors for MHC class I"			
	<b>AP</b>	M. Marra, et al., <u>Database EMBL Sequences, Online</u> , Accession No. AI613766, April 26, 1999. Definition: vh96g05.y1 Barstead mouse myotubes MPLRB5 Mus musculus cDNA clone IMAGE:902168 5', mRNA sequence.			
	<b>AQ</b>	Sandy Preston, et al., <u>Eur J Immunol</u> , 27(8):1911-1918, August 1997. "The leukocyte/neuron cell surface antigen OX2 binds to a ligand on macrophages"			
	<b>AR</b>	Olli Silvennoinen, et al., <u>APMIS</u> , 105(7):497-509, July 1997. "Cytokine receptor signal transduction through Jak tyrosine kinases and Stat transcription factors"			
EXAMINER 			DATE CONSIDERED <b>6/1/04</b>		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					